

Integration of Salivary Bioscience into Behavioral, Health, and Sports Sciences:

How Biomarkers in Saliva can Benefit
Your Research Program

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Disclosure Statement

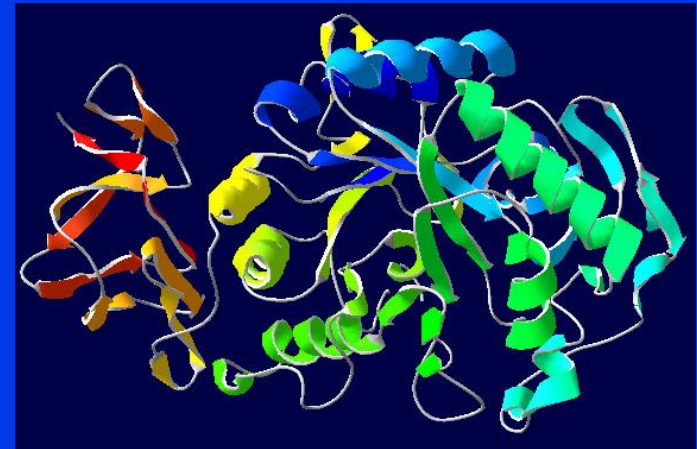
In the interest of full disclosure, Douglas A. Granger is the founder (or co-founder), serves as the Chief Scientific and Strategy Advisor, and holds equity in Salimetrics LLC and Salivabio LLC.

These relationships are managed by the policies of the Conflict of Interest Committee at the Johns Hopkins University School of Medicine

Overarching Assumptions

Effects of context on development moderated through individual differences in **stress responsive biological systems**

Biology and behavior have **reciprocal effects** and expression of bio-behavioral relationships is dependent on context



Probability that individual differences in biological reactivity and regulation linked to outcomes of interest highest when studied in **meaningful social contexts**

Biological systems are networked and **multi-system measurement** of stress response is critical



Perturbing the organism: The biology of stressful experience

Environmental Demands
(physical, social, cultural)

Behavioral Surface
(emotion regulation, coping,
flight-fight, tend-befriend)

**Fast Acting—
Physiological Processes**
(neural, HPA, ANS activity)

**Slow Acting—
Physiological Processes**
(genetic activity)

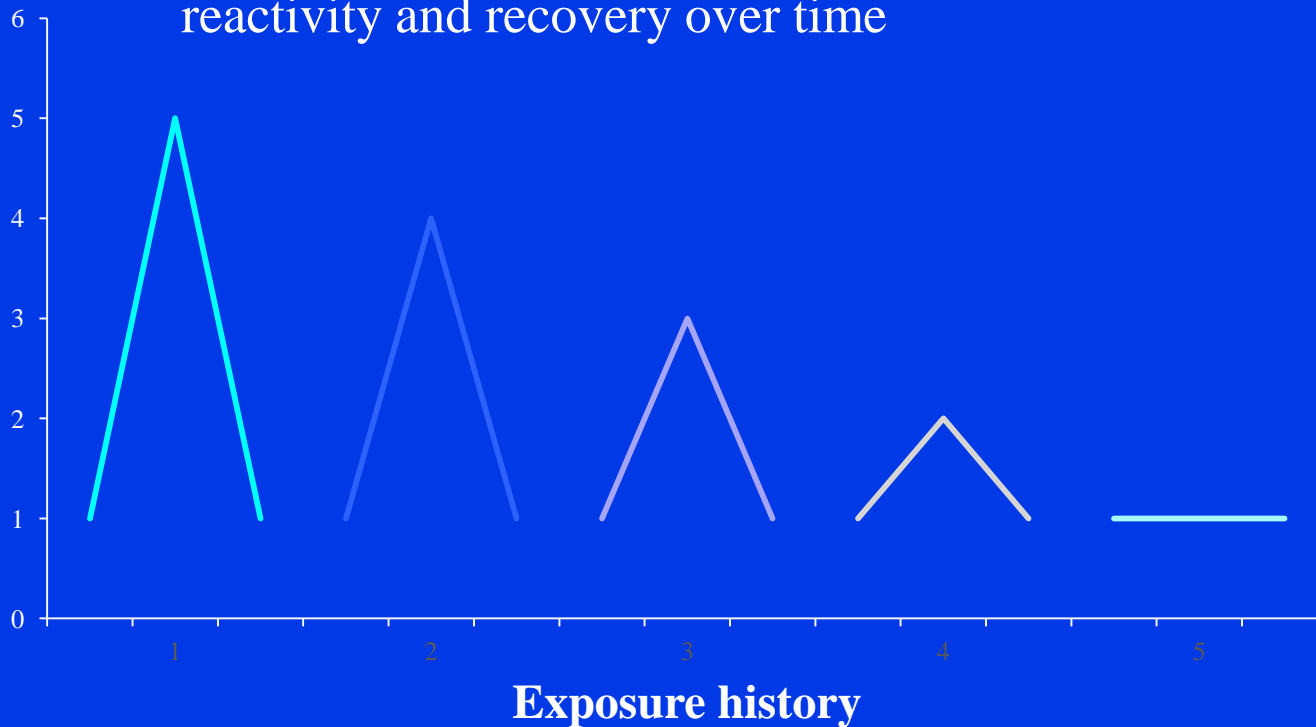


Biological Susceptibility to Context, Adaptive Calibration

Weiner (1992) Gottlieb (1992) Boyce and Ellis (2005)

Intra-individual patterns of stress-reactivity

Normative-adaptive pattern assumes habituation of reactivity and recovery over time



Time →

Advantages of Oral Fluid as Research Specimen

“Minimally Invasive”

Considered “acceptable and non-invasive” by research participants
Collection is quick, non-painful, uncomplicated

“Safety”

Reduces transmission of infectious disease by eliminating the potential for accidental needle sticks
CDC does not consider saliva a class II Biohazard unless visibly contaminated with blood

“Self-collection”

Allows for community- and home-based collection
Enables specimen collection in special populations

“Economics”

Eliminates the need for a health care intermediary (e.g., phlebotomist, nurse). Resources for collection and processing samples are low cost and available

“Accuracy”

Salivary levels of many analytes represent the “free unbound fraction” or biological active fraction in the general circulation

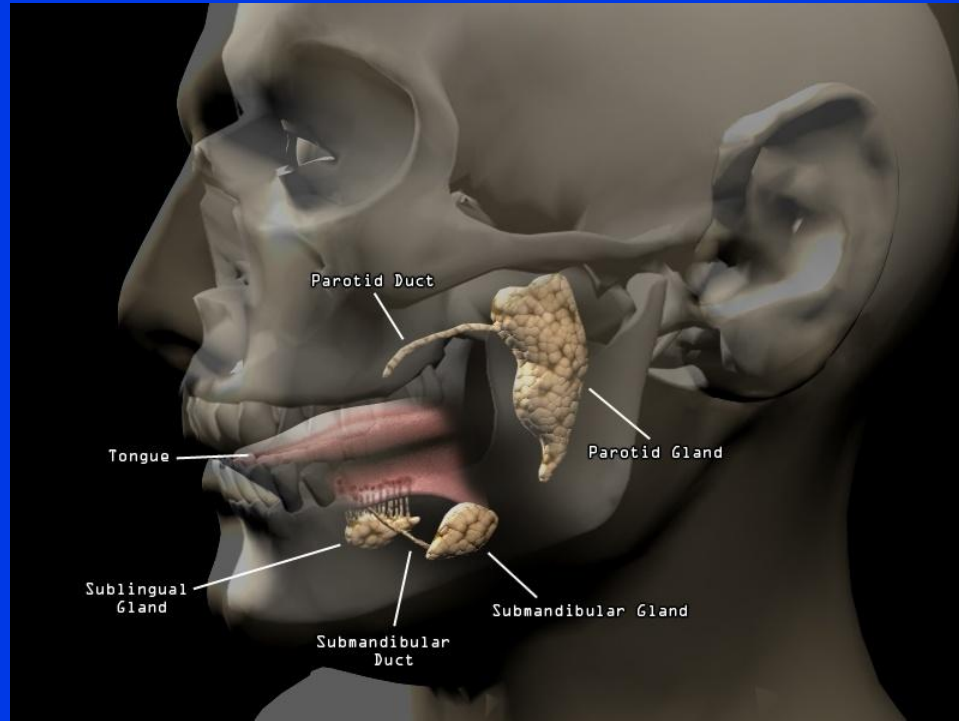
“Ecological Validity”

Enables biological reactivity and regulation to be monitored in everyday social world

“ Multiple Participants”

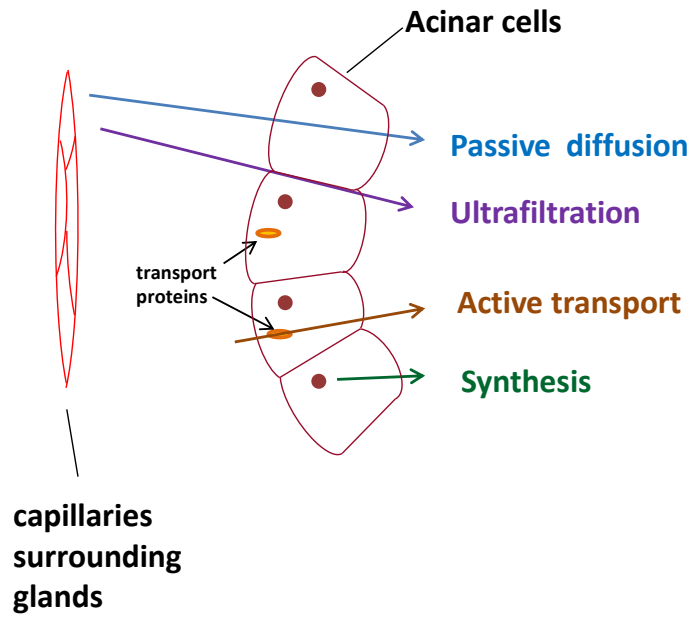
Enables samples to be collected from groups of individual participants simultaneously in real time

Oral fluid subtypes that compose “Whole Saliva”



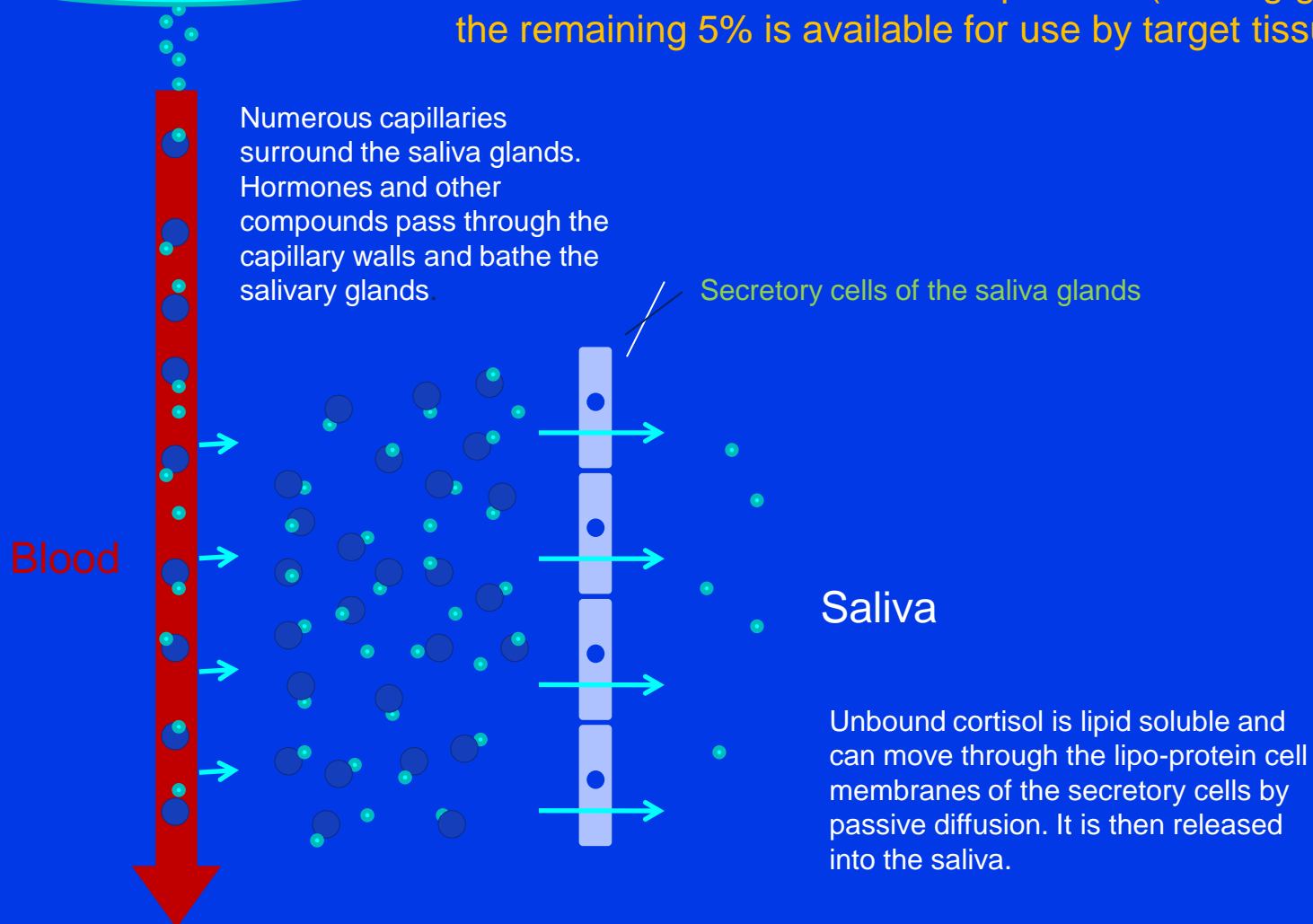
- Sublingual saliva (4%)
- Parotid saliva (23%)
- Submandibular saliva (65%)
- Minor saliva glands (7%)
- Cervicular fluid (1%)

Movement of Biomarkers into Saliva



Adrenal Cortex

Cortisol is secreted into the bloodstream from the adrenal cortex. About 95% of it binds to carrier proteins (binding globulin). Only the remaining 5% is available for use by target tissues.



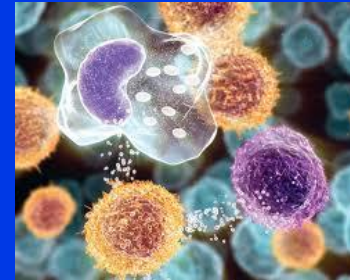
Many analytes are released locally into oral fluids and are not from blood

Knowing whether an analyte measured in oral fluid **is or is not** from blood is key to interpretation of the meaning of individual differences

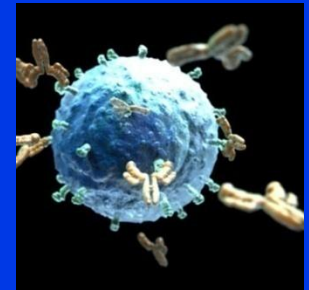
Analytes in Oral Fluid of Interest to Health Sciences

- Cortisol
- Dehydroepiandrosterone (s)
- Testosterone, androstenedione
- Estradiol, estrone, estriol
- sIgA
- Progesterone and 17-alpha-hydroxy-Progesterone
- Cotinine
- Drugs of Abuse and Environmental Chemicals
- C-Reactive Protein, Neopterin, Beta-2-microglobulin
- Melatonin, Oxytocin, NPY, VIP
- Cytokines (e.g., IL-6, TNF α , IL1 β), soluble cytokine receptors (sTNF-I, -II)
- Disease specific antibodies (e.g, HIV, HSV, EBV, CMV) or antigens
- Alpha-amylase, Chromogranin A
- Metalloproteinases (MMP-8)
- Heat Shock Proteins, aldosterone
- Adiponectin, Leptin, Ghrelin
- Electrolytes
- DNA and Methylation, RNA
- Microbiome
- GFAP, Neurogranin

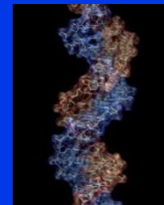
cytokines



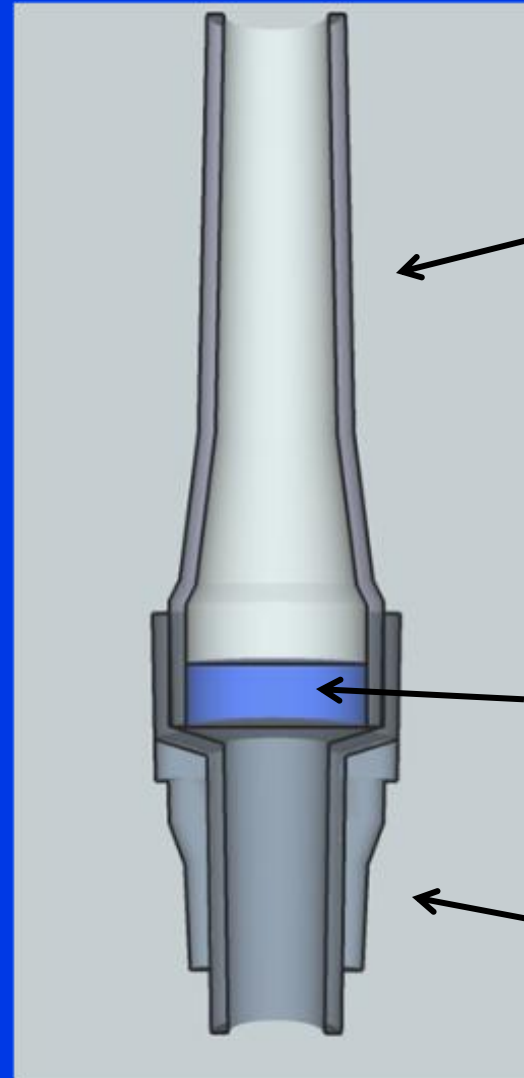
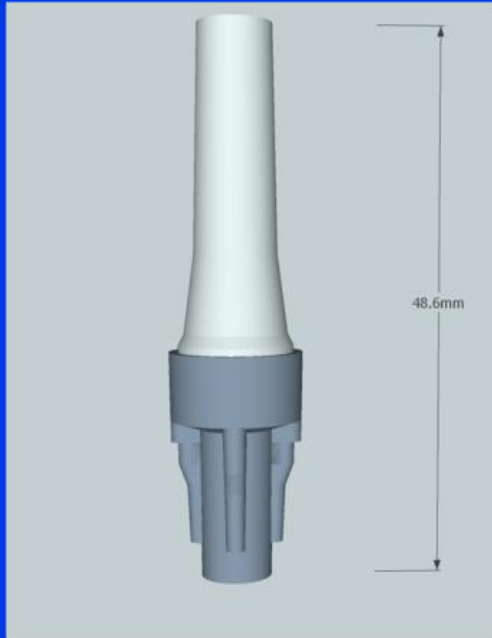
Antibodies



DNA



Collection Device Aid



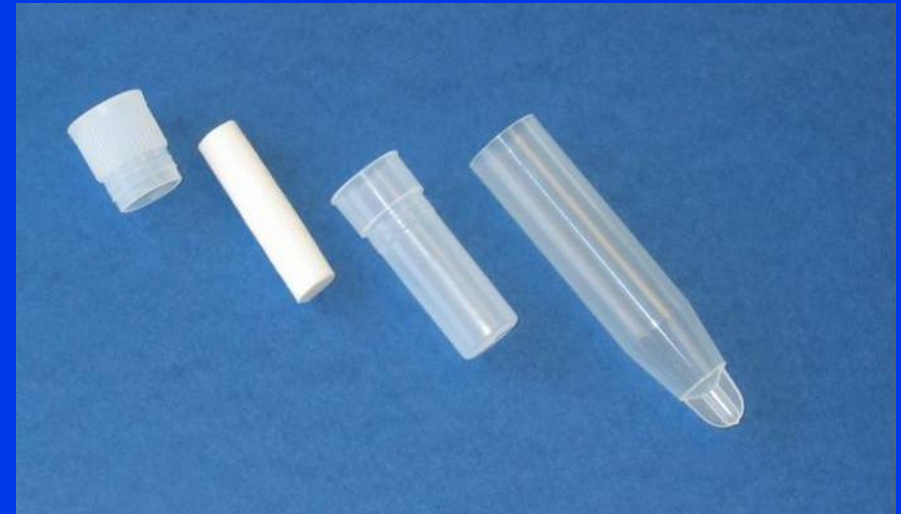
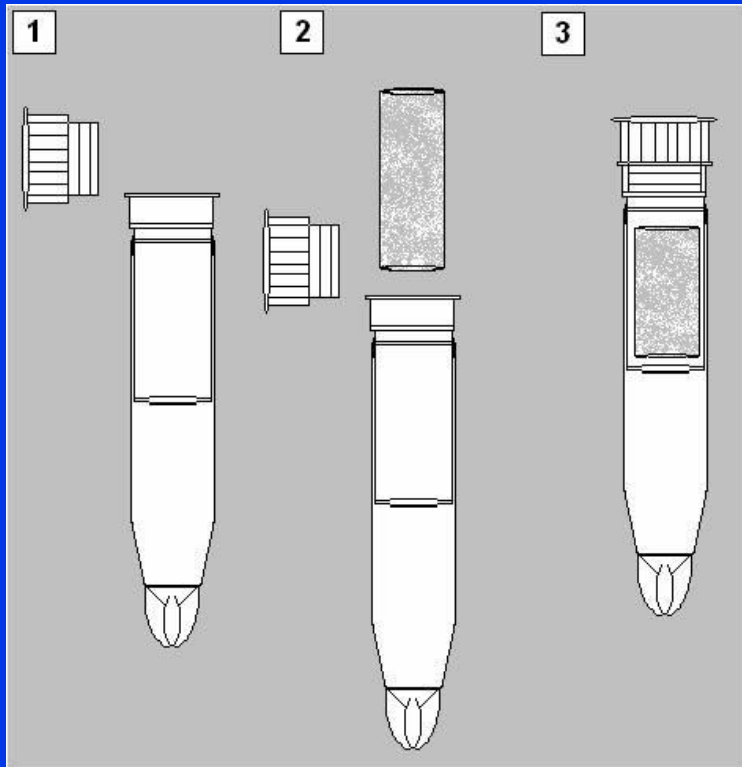
Mouth
Piece
and
Flute

Treatment
Chamber

Vented connector
to storage vial

Salivette Module with Oral Swab

Easy to use
Excellent Recovery
1.5 mL capacity



Restricted analysis potential
Small size-choking hazard
Large head space
Location of placement

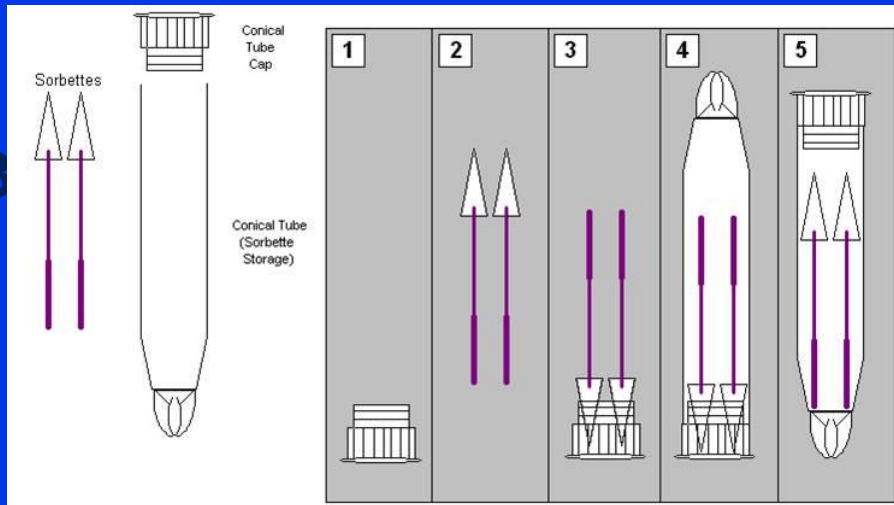
BD Hydrocellulose Microsponge

Handle

Highly absorbent

Recovery of low volumes

Sleeping participant



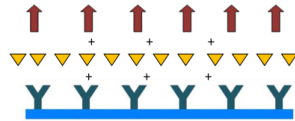
High surface area
Evaporation hazard
Use two
Restricted analysis

Handling, Transport, Storage

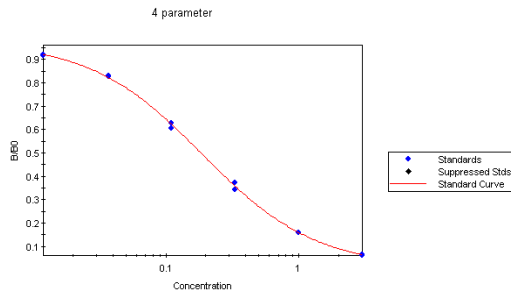
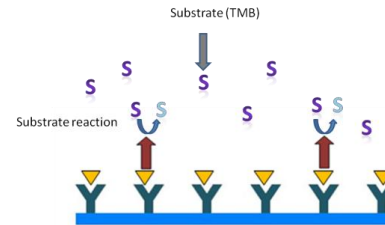
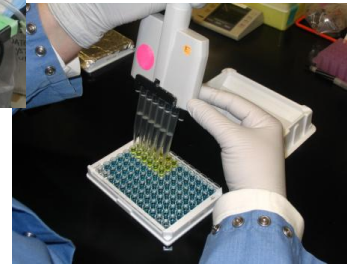
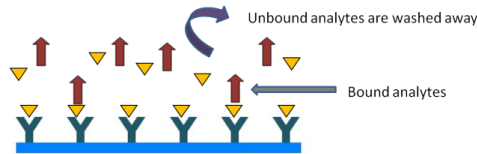
- Biosafety
- Bacteria growth and specimen stability
- Freeze thaw
- Field storage
- Shipping rules and regulations
- Long term storage and archiving



Enzyme Immunoassay Competitive Binding



Conjugate
Standard and/or sample
are added
Antibody on EIA plate

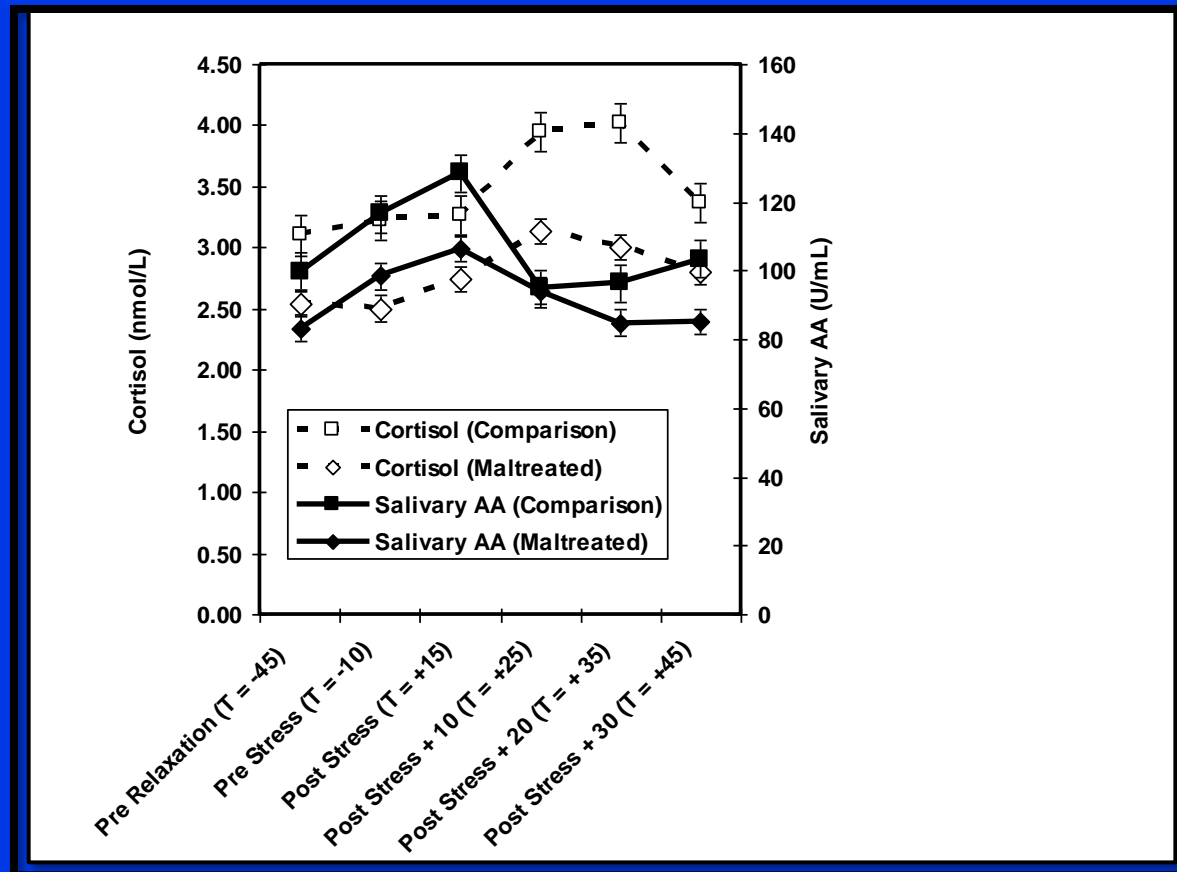


4 Parameter $(y = (A - D) / (1 + (x/C)^B) + D)$
A=0.0111 B=-1.0247 C=0.1889 D=0.3752, R-Square = 0.9992

Multiplexing Technology

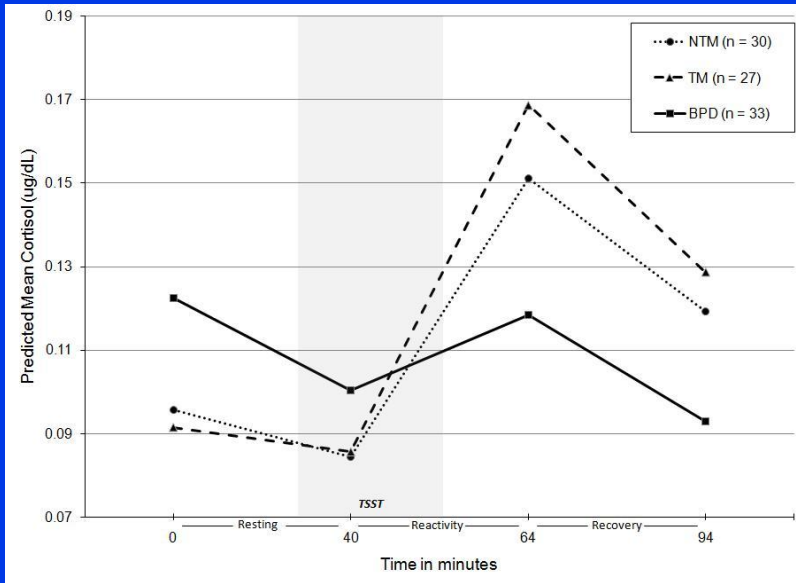


Dampened Salivary Alpha-amylase and Cortisol Reactivity to Psychosocial Stress : Maltreated versus Comparison Adolescents

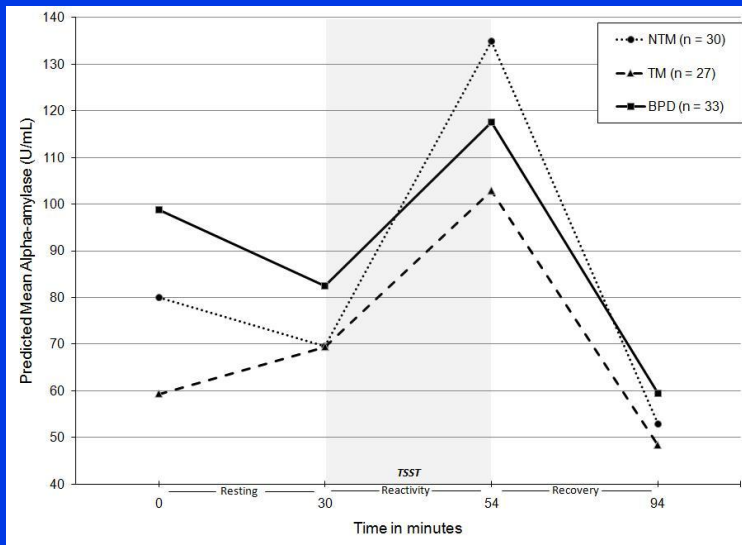


Cortisol, Alpha-amylase, and subjective emotional reactivity in women with Borderline Personality Disorder (BPD)

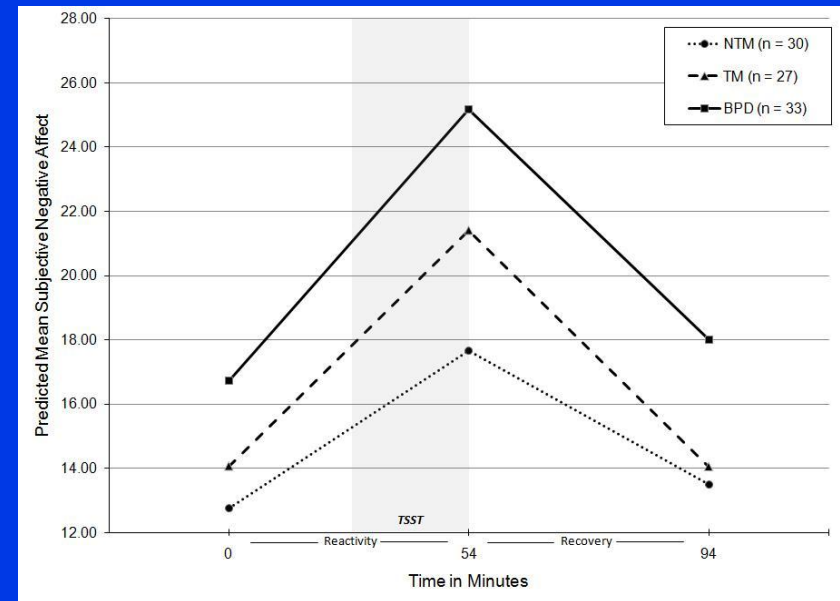
(Scott, Granger, Levy, 2011)



The BPD group showed attenuated stress-related cortisol reactivity as compared to both NTM and TM groups.

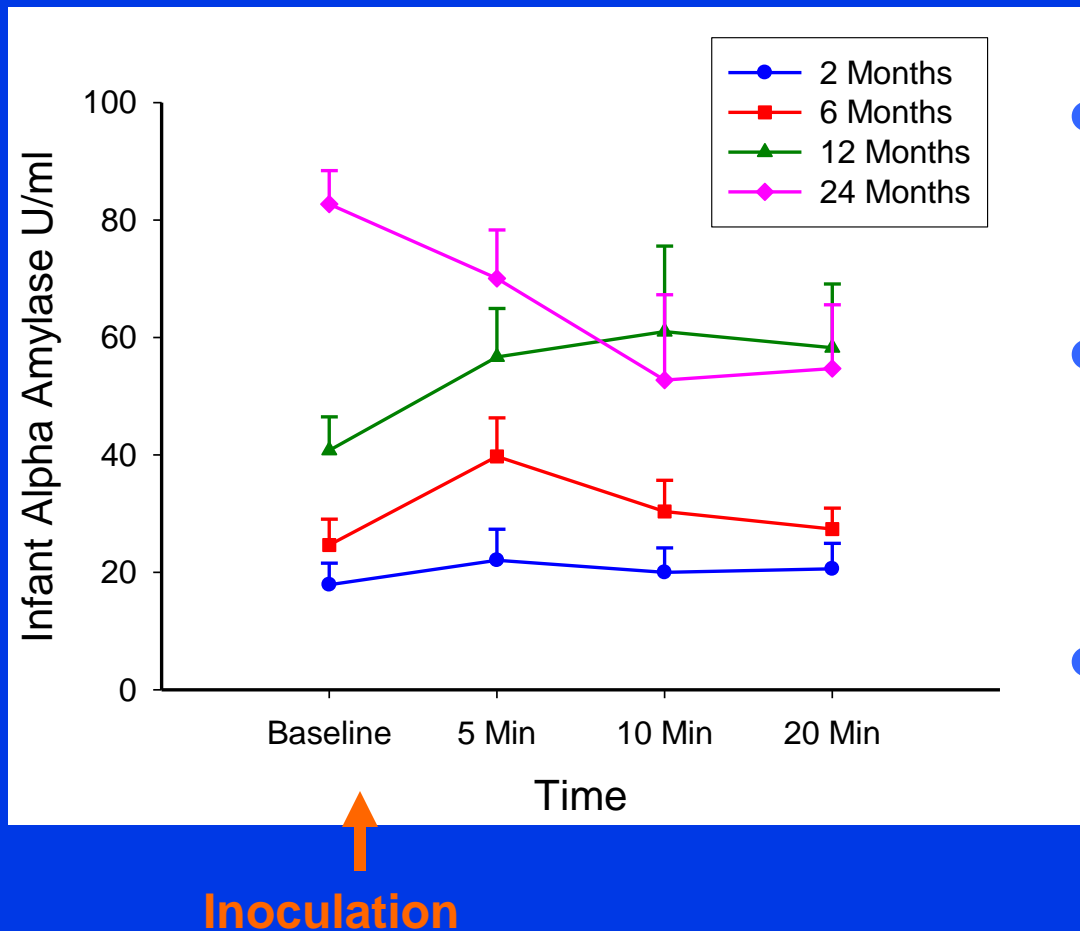


Both the BPD and TM groups demonstrated attenuated stress-related sAA reactivity as compared to the NTM group.



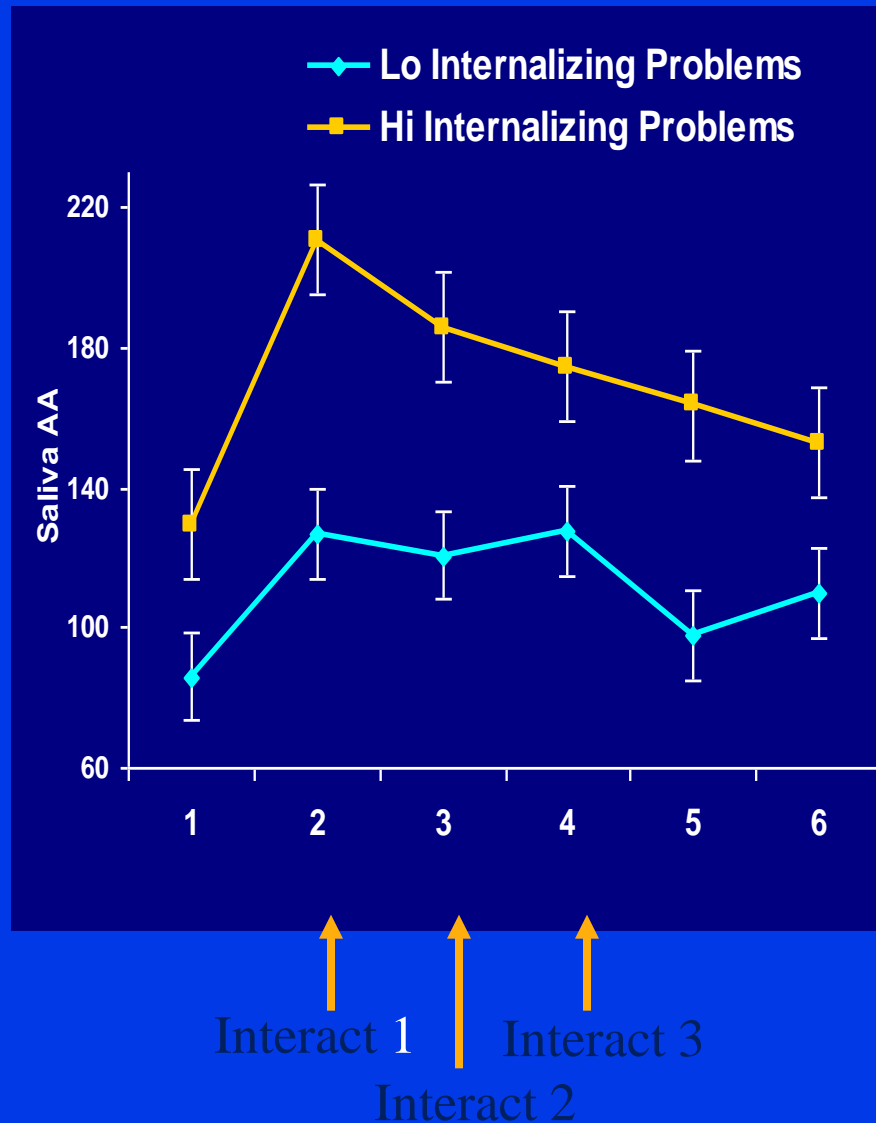
The BPD group had higher average baseline and overall average NA than both NTM and TM groups.

Developmental differences in sAA Response to Inoculation Stress



- 2-month old infants did not show an sAA response
- 6 and 12-month old infants displayed a significant sAA increase.
- 24-month old infants displayed an anticipatory rise and decrease in sAA

Internalizing problem behavior and sAA reactivity to social exclusion task



Maternal Engagement in Early Infancy Predicts Children's Cortisol Reactivity at 15 months

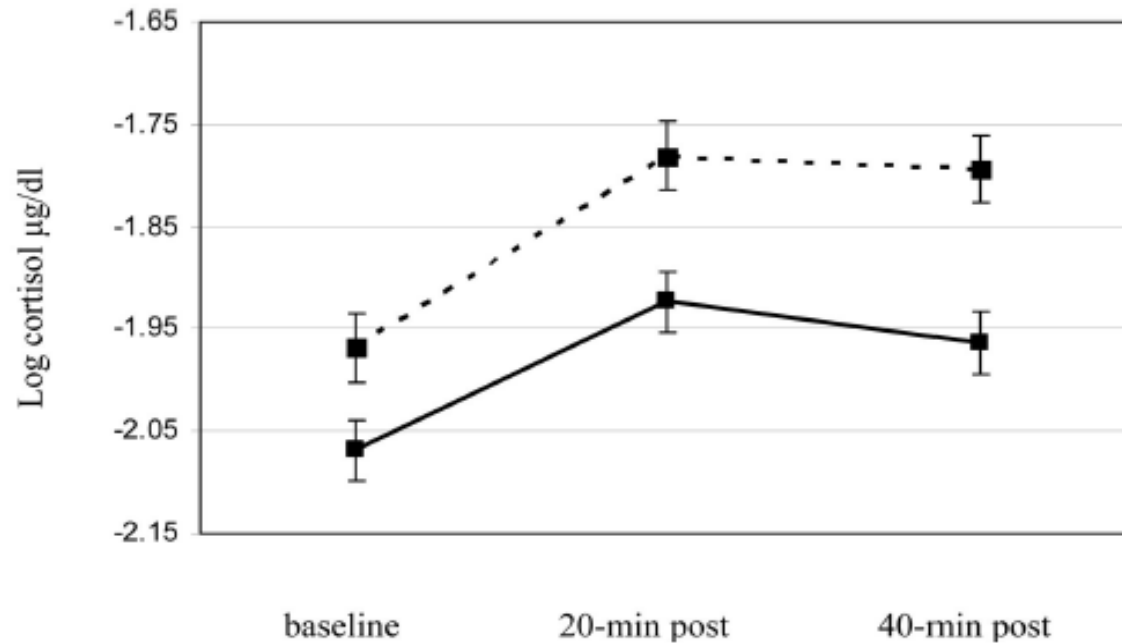


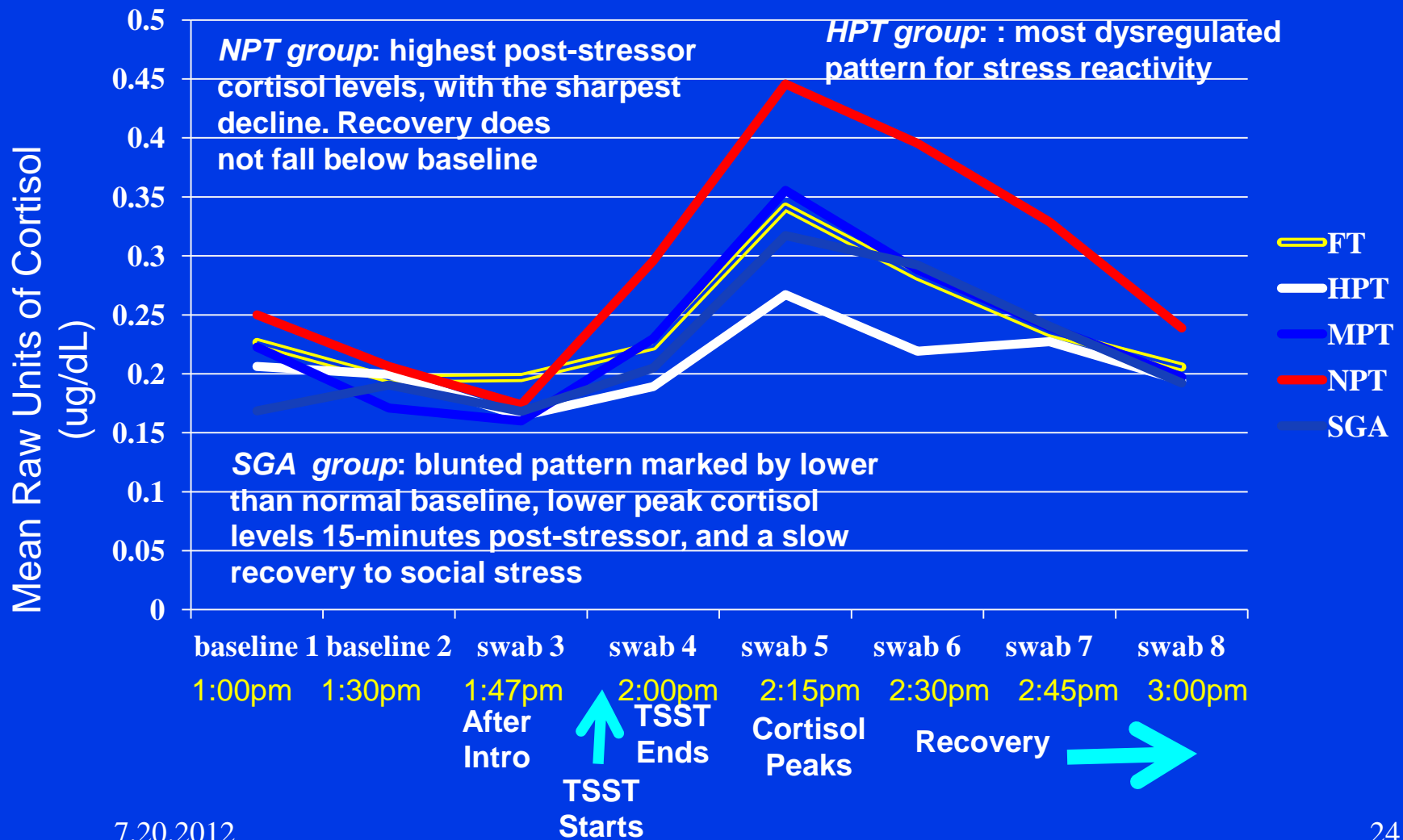
Figure 2. Cortisol reactivity and regulation in response to emotion challenge in the toddler period as a function of maternal engagement in infancy. Dashed line = low maternal engagement; solid line = high maternal engagement as defined by median split; post = post-peak arousal. Error bars represent the sampling error of the mean.

Effects of early adversity on stress reactivity in adulthood

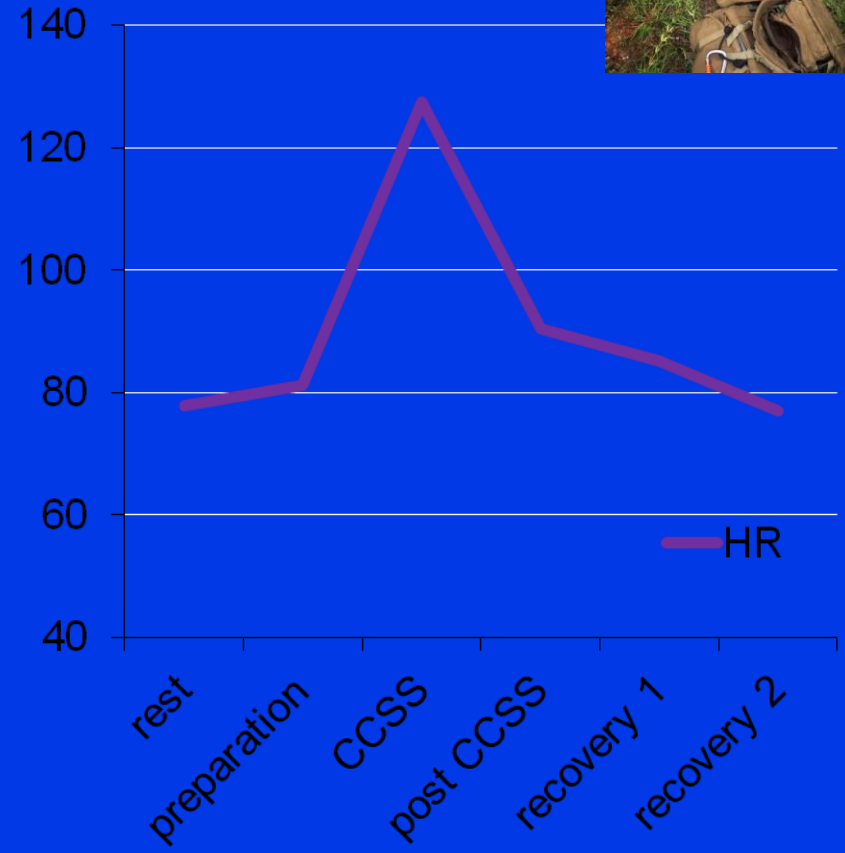
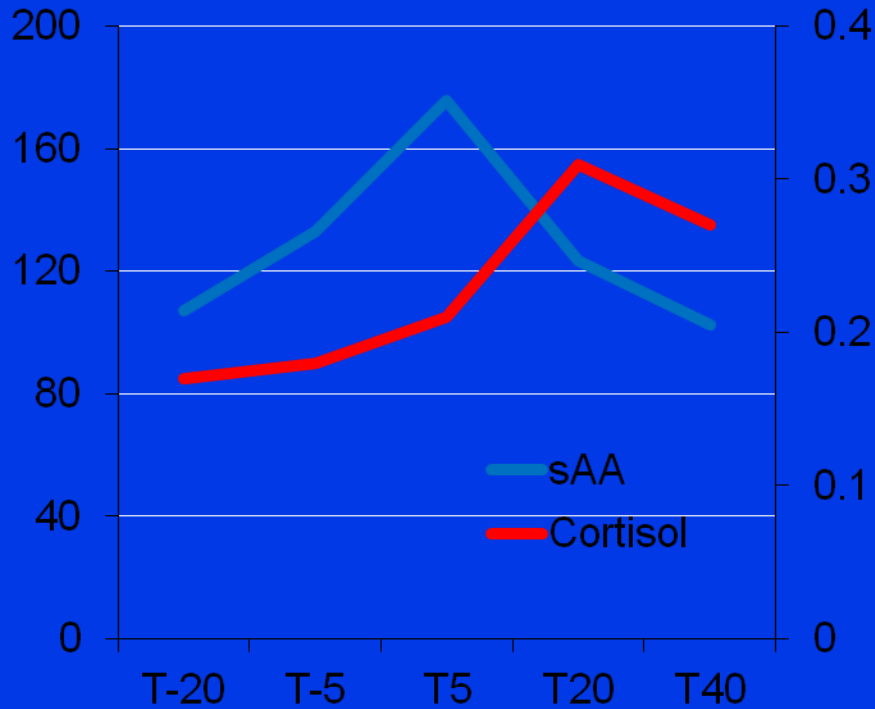
<i>Birth Group</i>	<i>Neonatal Criteria</i>
Full Term	Full Term; medically & neurologically healthy
Healthy Preterm	Premature no medical/neurological complications
Medical Preterm	Premature neonatal medical illness (BPD, RDS, NEC, sepsis)
Neurological Preterm	Premature neonatal neurological illness (Grade III & IV IVH, meningitis, shunted hydrocephalus)
Small for Gestational Age Preterm	Premature birth weight for gestational age < 10 th percentile

Full Term (≥ 37 weeks gestation) Premature (<37 weeks gestation)

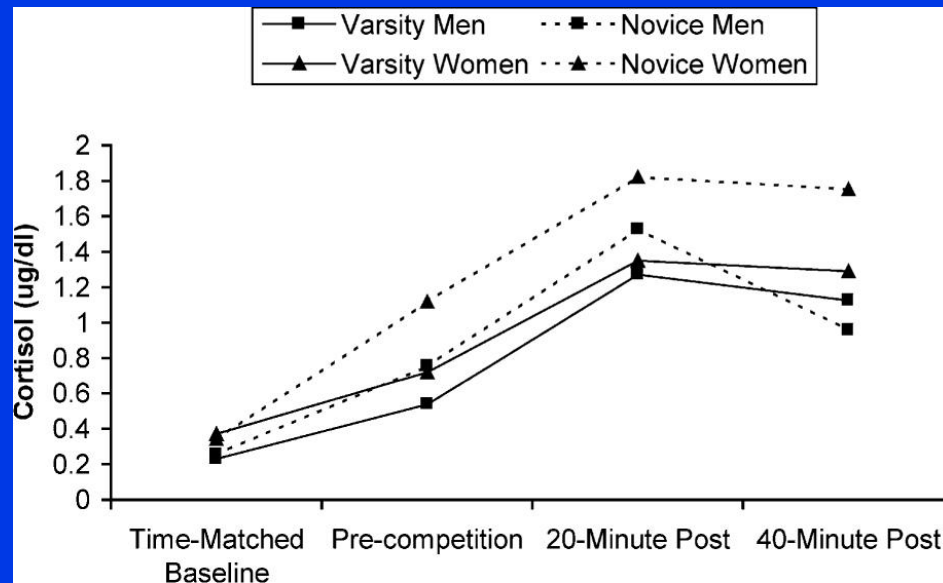
HPA Stress Reactivity In Adulthood by Birth Group



Army Nurse Combat Casualty Stress Task

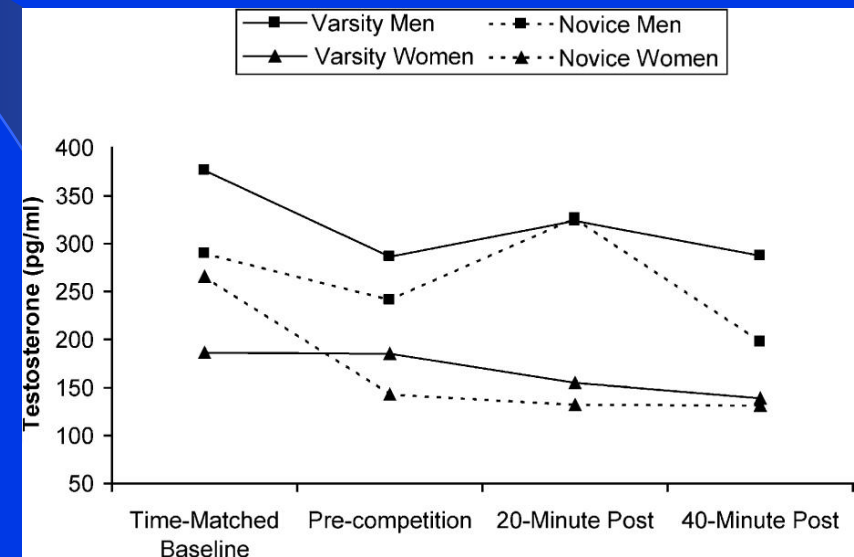


Salivary Cortisol Response to Rowing Ergometer Competition



Men and women's endocrine responses were more different than alike and varied by level of experience

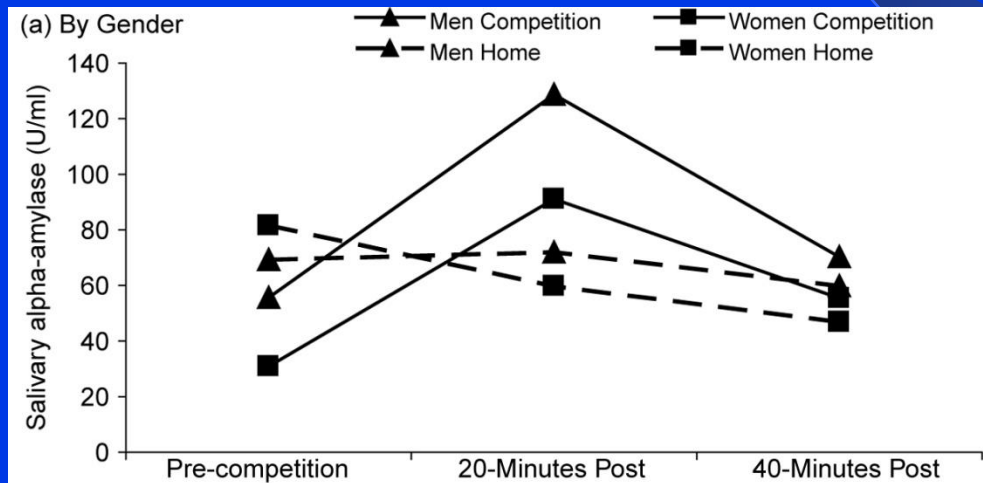
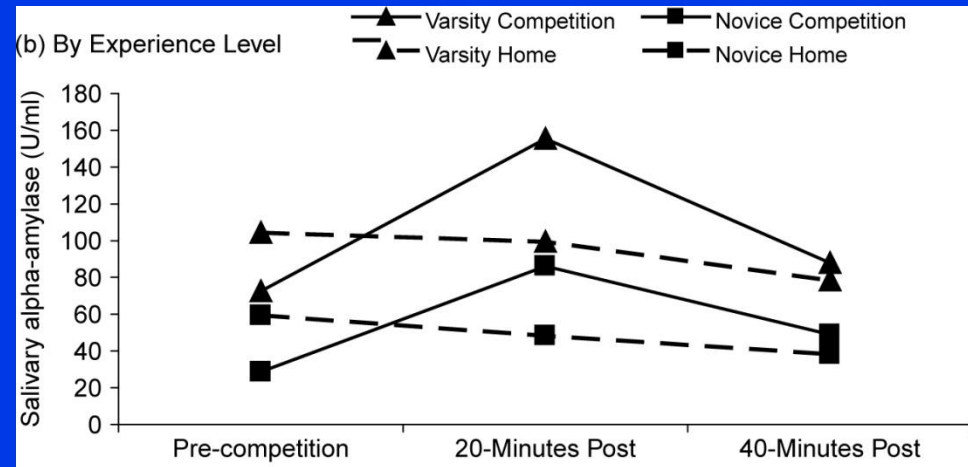
Individual differences associated with social Afiliation rather than dominance or competitiveness



Salivary sAA Response to Rowing Ergometer Competition

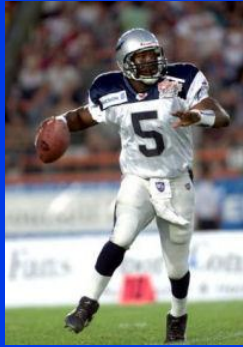
sAA higher for varsity than novice, and associated with performance.

sAa reactivity associated with perceived dominance

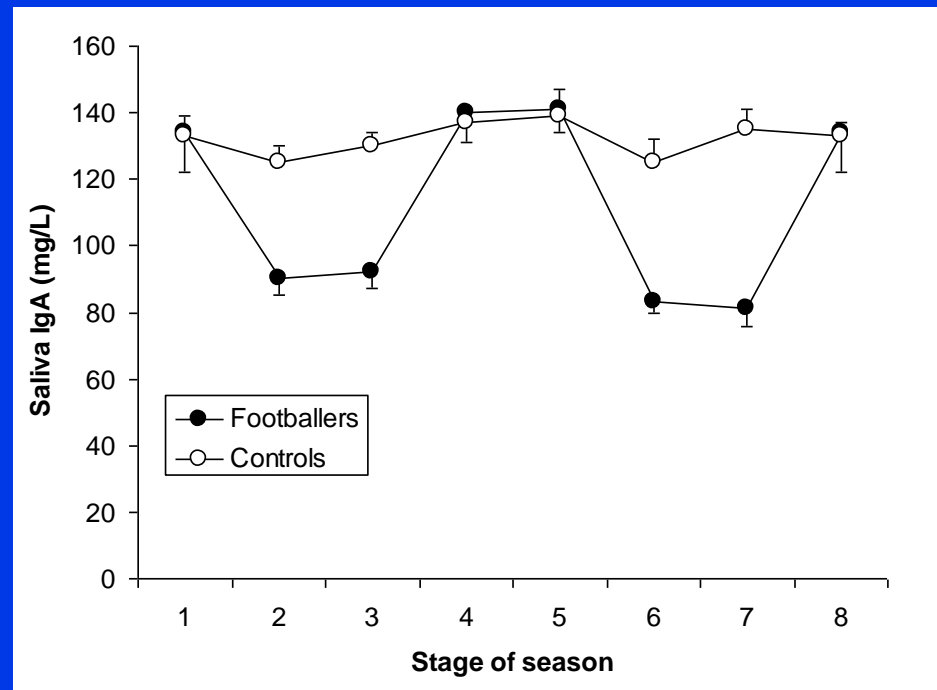
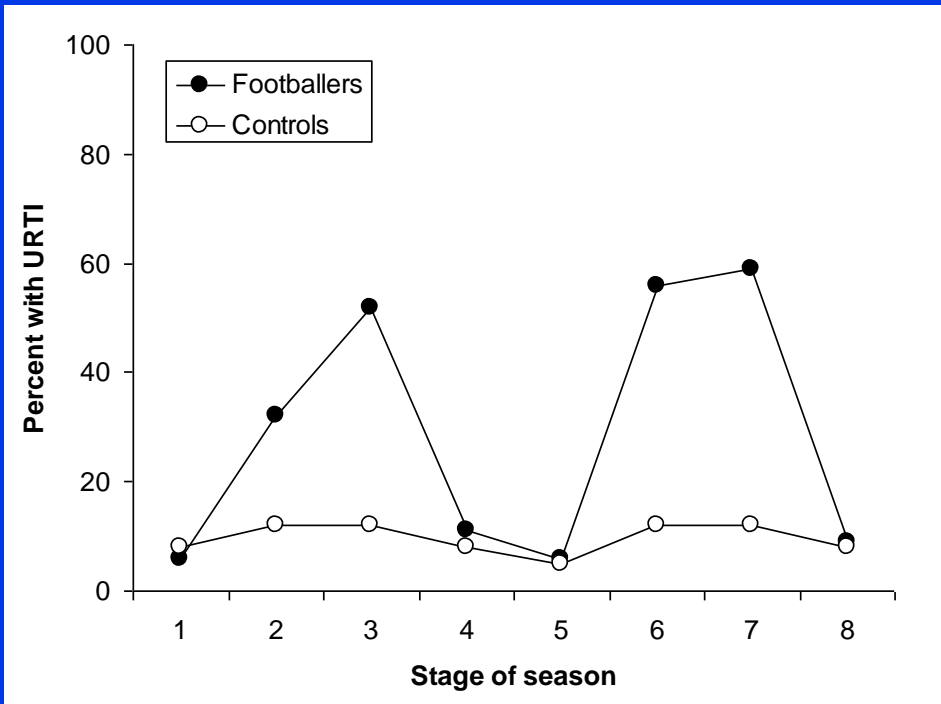


sAA reactivity specific to competition, and levels Higher in men than women





Mucosal IgA and URTI in American College Football Players: A Year Longitudinal Study



Autumn

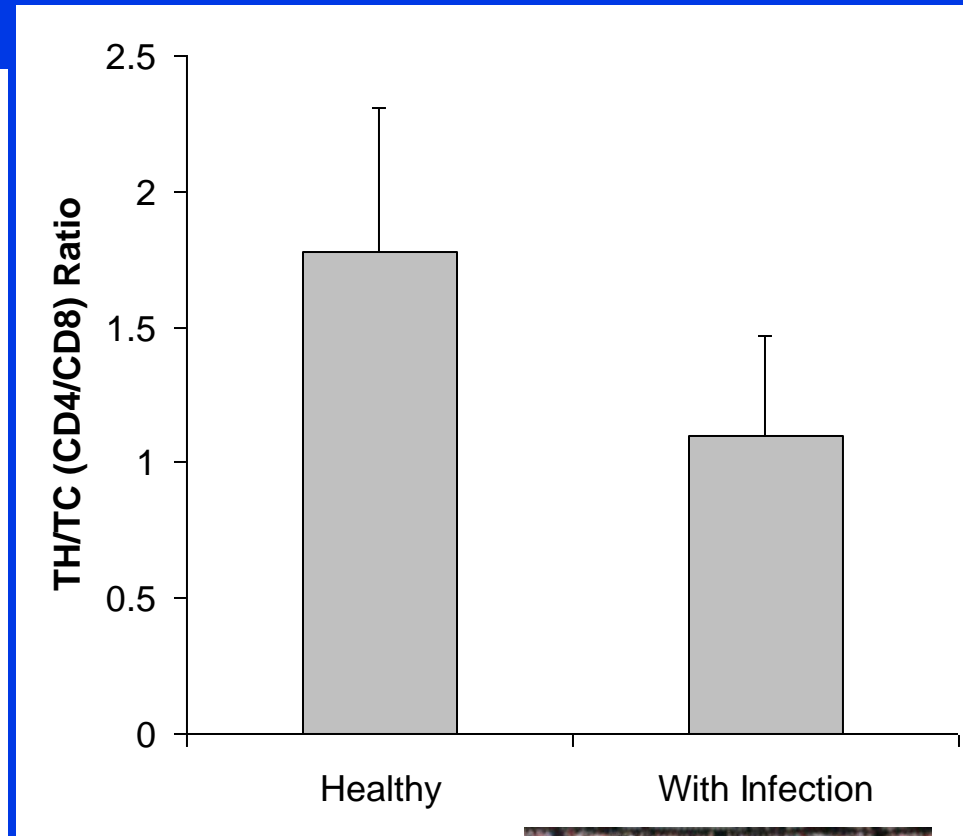
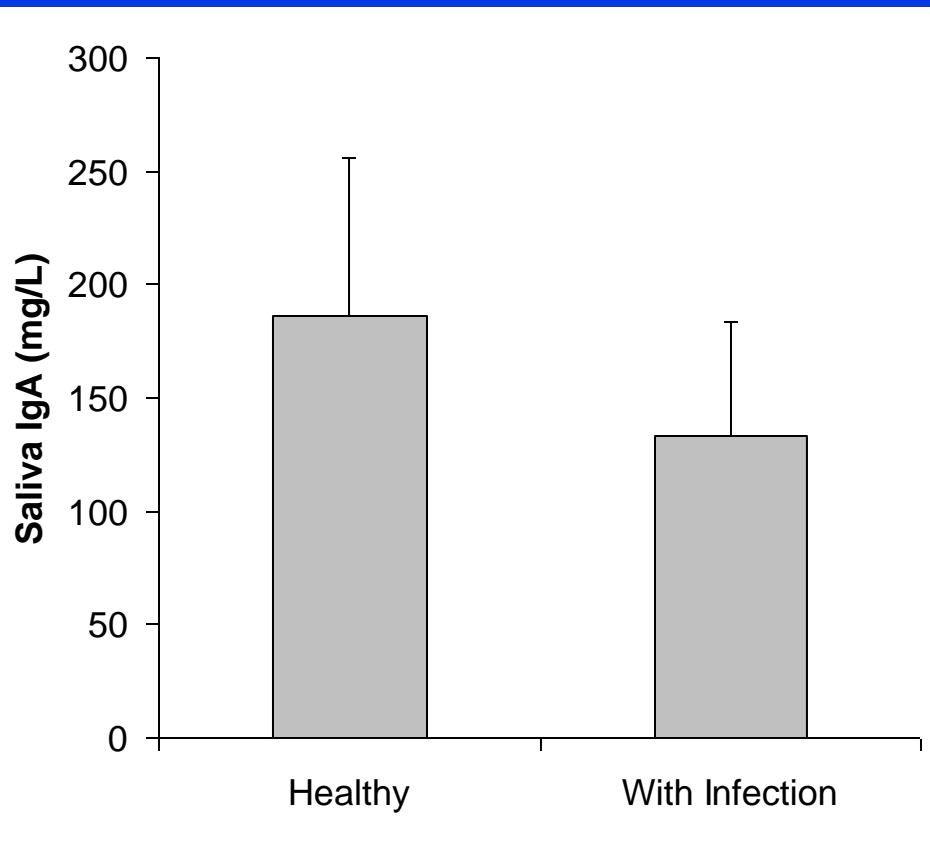
Winter

Spring

Immunological differences in English Premier League football players with infections

28 players in total were sampled on up to 4 occasions (total of n=94); 5

players had viral infections on 11 occasions

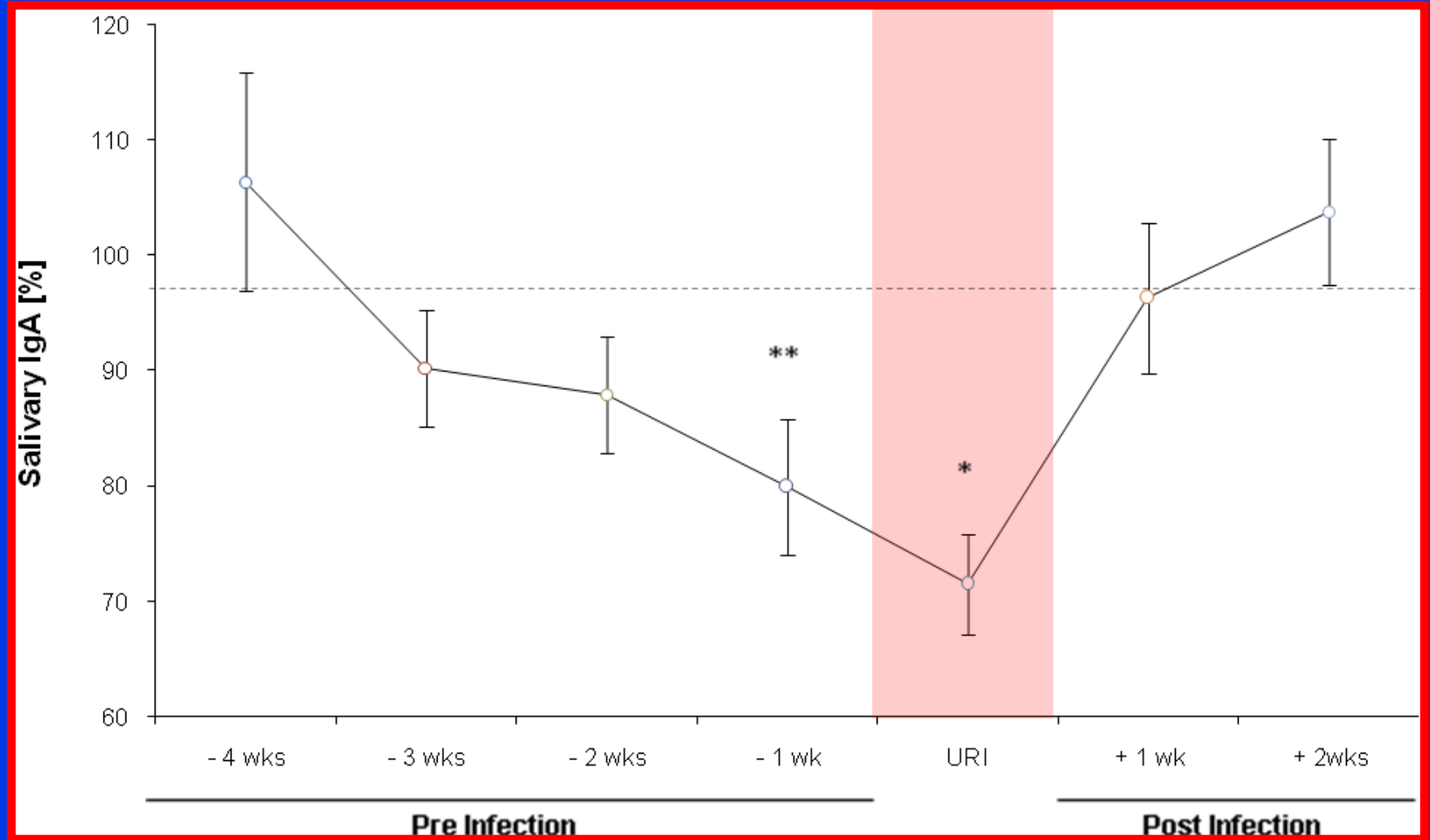


A longitudinal study of changes in s-IgA and respiratory illness in athletes

- 38 members of America's Cup yacht crew
- Studied over 50 weeks of training and sailing
- Morning saliva samples collected weekly
- Clinically confirmed illness



Relative s-IgA before and after an infection episode



Gleeson

Conclusions

- On a group basis, relative s-IgA determined a substantial proportion of the variability in weekly infection incidence
- Significant reduction in s-IgA in the 3 weeks prior to infection
- Relative s-IgA value $<40\%$ of healthy baseline value indicated a 50% chance of contracting an infection within 3 weeks

Hence, possible predictive value with regular monitoring

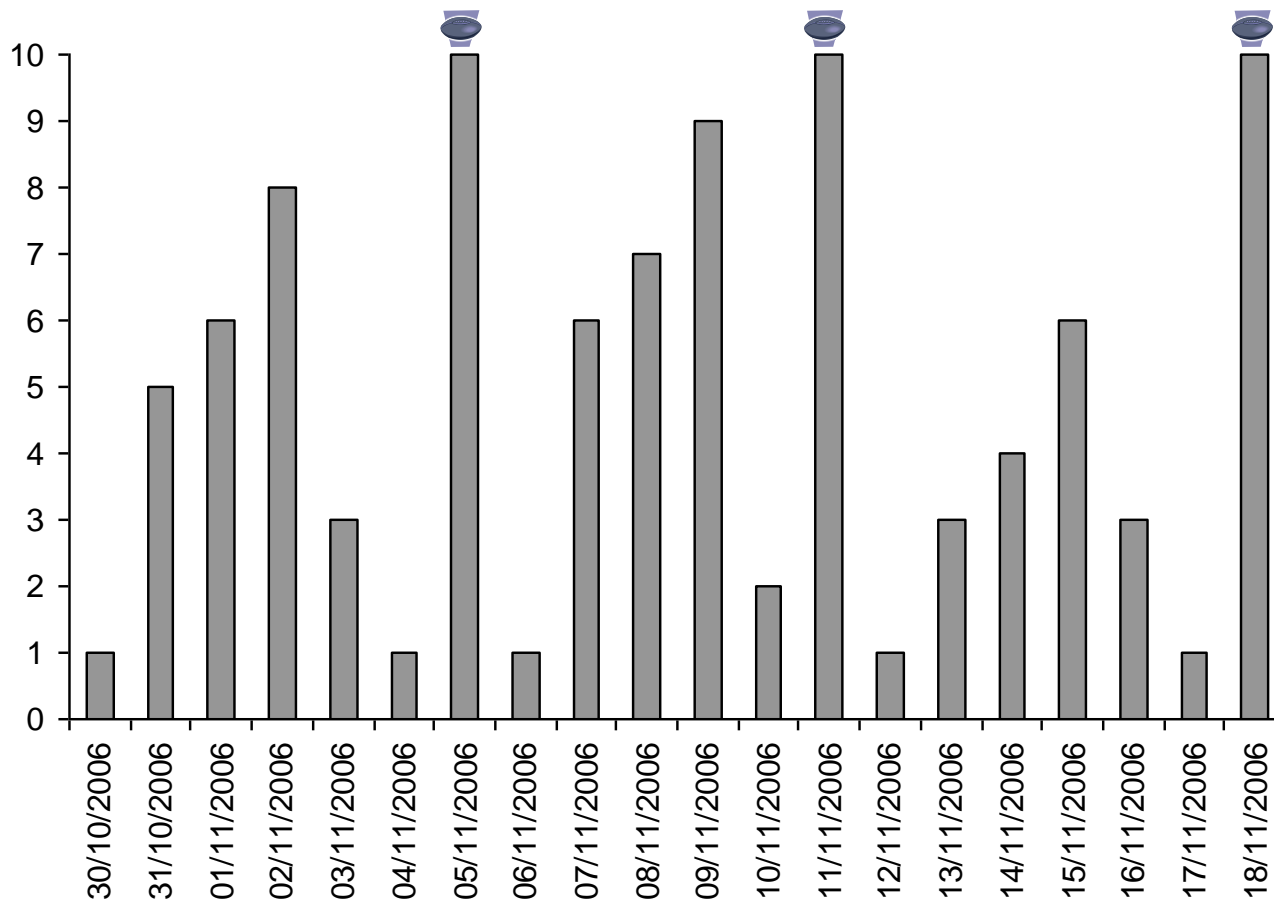
Monitoring hormones

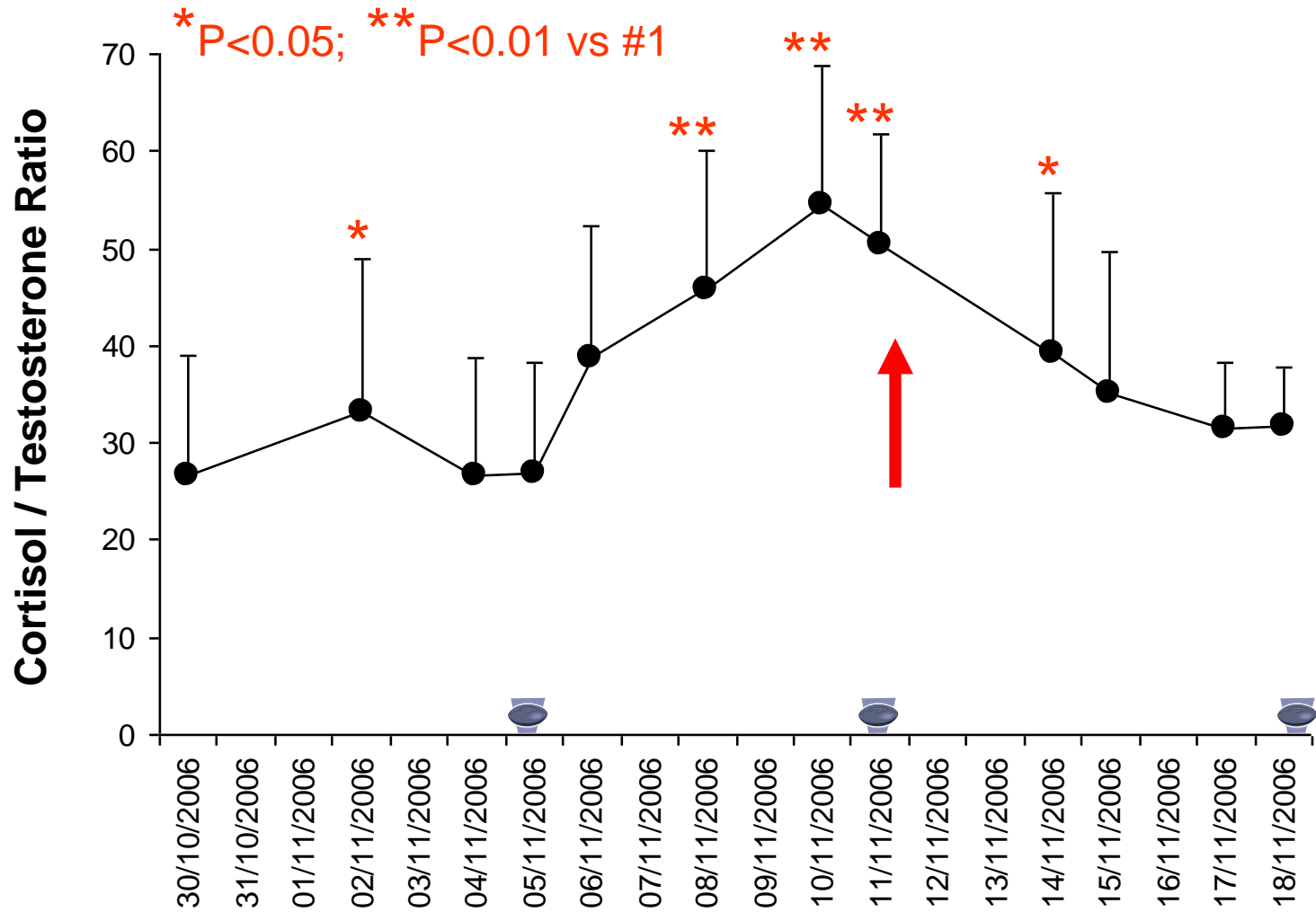
- With stress: \uparrow C and \downarrow T
- C/T ratio \uparrow 30% = Overreached/Stressed
- Salivary C/T (1000*nM/pM) ratio > 40
= Stressed or Not Recovered

Monitoring recovery in RFU players

- 10 England International RFU Players
- 29 1 years, 102.4 3.9 kg, 1.86 0.02 m
- 4 saliva samples collected each wk for 3 weeks
- Morning collection, before breakfast
- IgA, Cortisol, Testosterone measured by ELISA
- Transferrin (blood contamination) by ELISA
- Training load monitored
- 3 international matches
- Players' perceptions of fatigue and stress monitored using the REST-Q questionnaire

Training Load





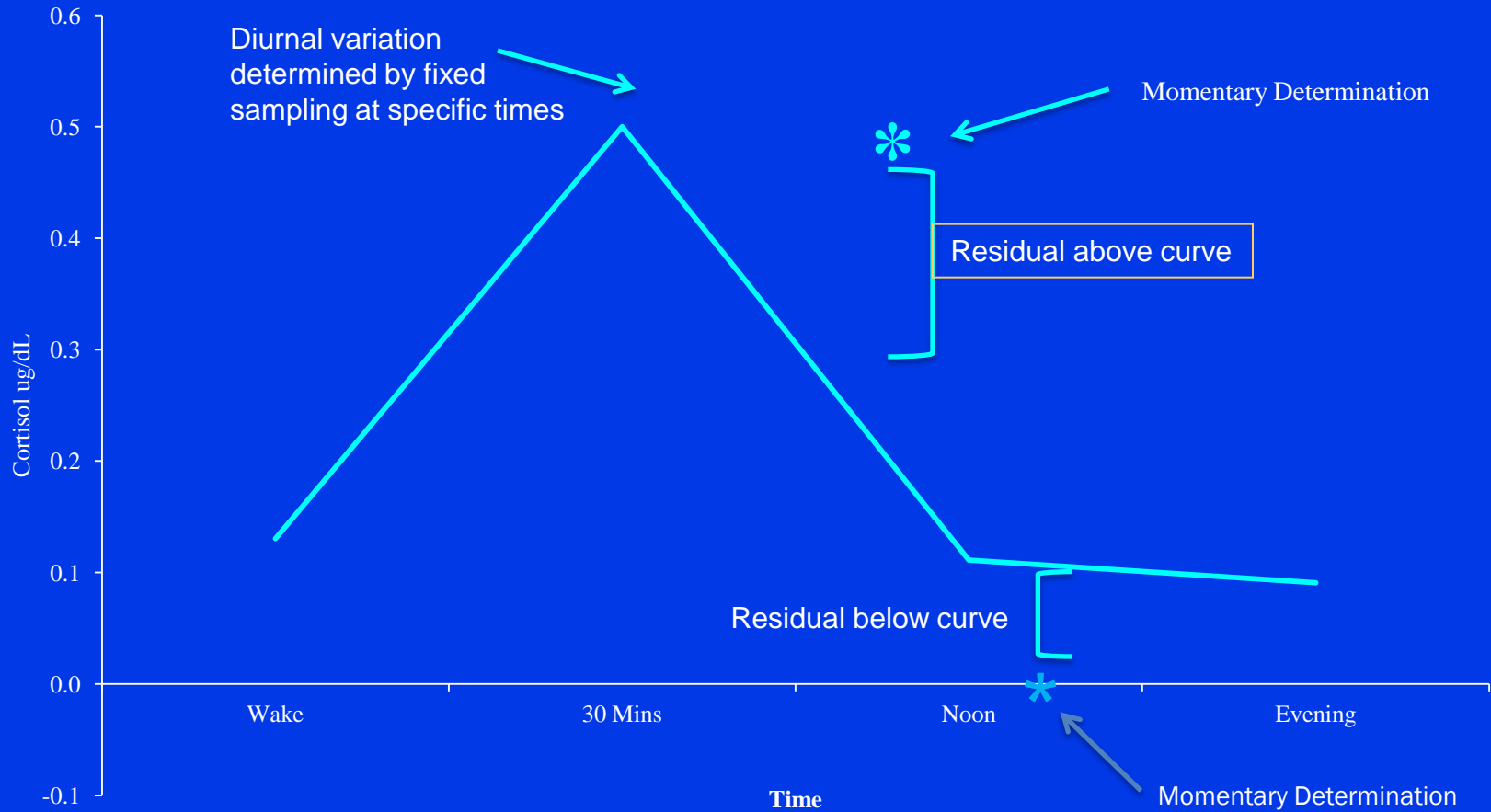
Effect of time (P<0.001)

Conclusions

- On a group basis, saliva C/T ratio appears to be indicative of stress or inadequate recovery
- May help in recovery prescription for individual players
- S-C/T >40 likely associated with underperformance

Hence, possible value with monitoring during intensive phases of season

Momentary Cortisol - Behavior Associations in Everyday Contexts



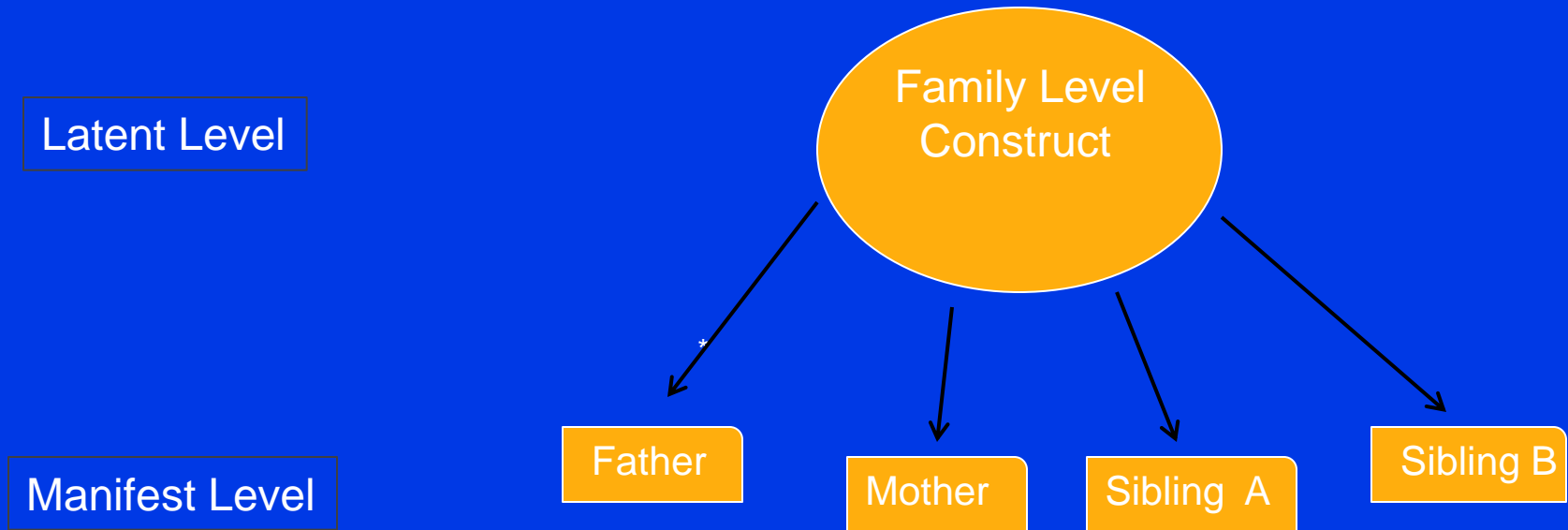
Dyadic Similarity in Cortisol Levels

	Mother	Father	Middle Child
Mother			
Father	.34** (326)		
Middle Child	.19** (320)	.21** (326)	
Adolescent	.34** (320)	.24** (322)	.22** (318)

** P<.01

400 Mothers, Fathers, Older and younger sibling
Saliva sampled at home two mornings
Relationship Quality Moderates
Marital satisfaction and conflict, spouse who are congruent have
higher covariation in cortisol levels

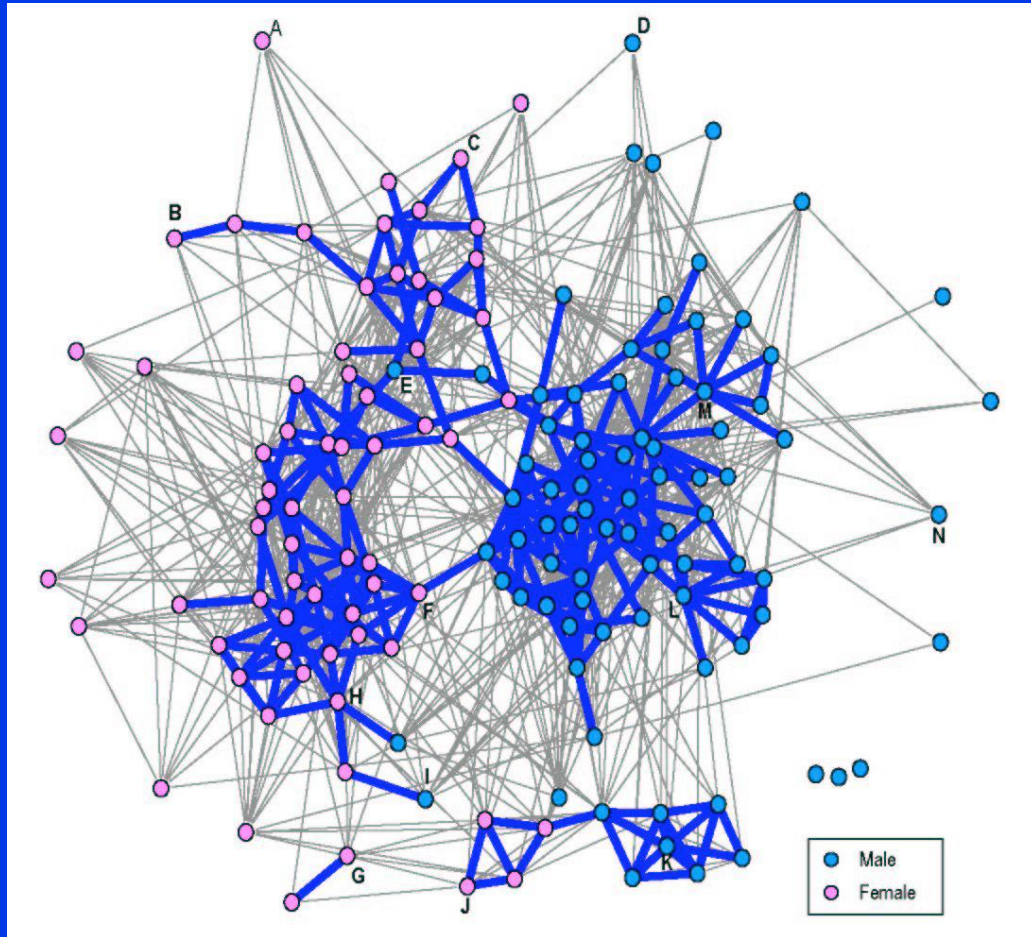
Commonality among members of social groups



Approach would theoretically enable the exploration of factors that contribute to each individual members contribution to the family level construct, and contribute to differences between groups.

Social Networks: Families, Teams, Classes

Self-reported Friendship Nominations in 6th Grade

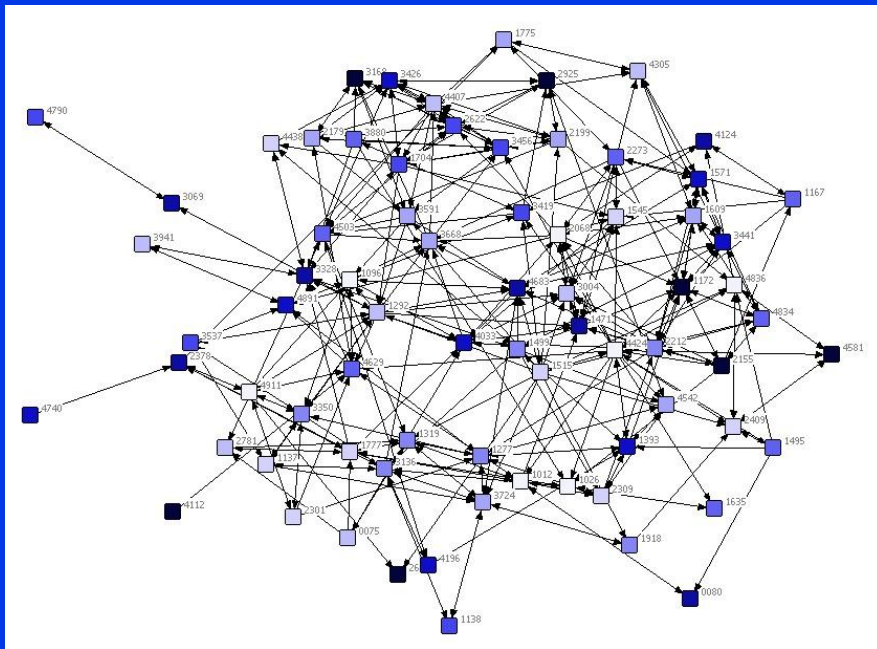


Thick blue lines are reciprocated Friendships

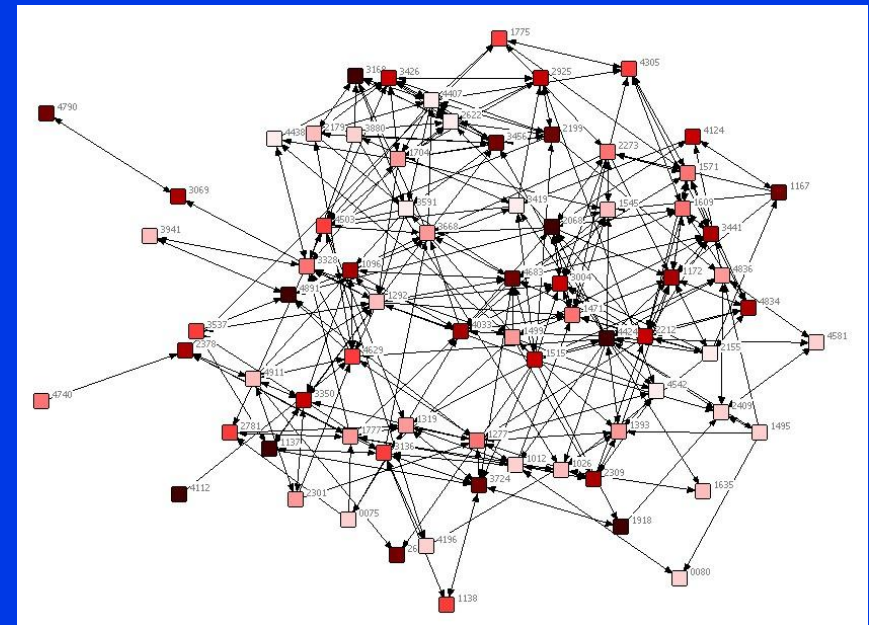
Thin grey lines are asymmetric Friendships

Cortisol, Testosterone, and the Social Network: Friendship Nominations

Cortisol



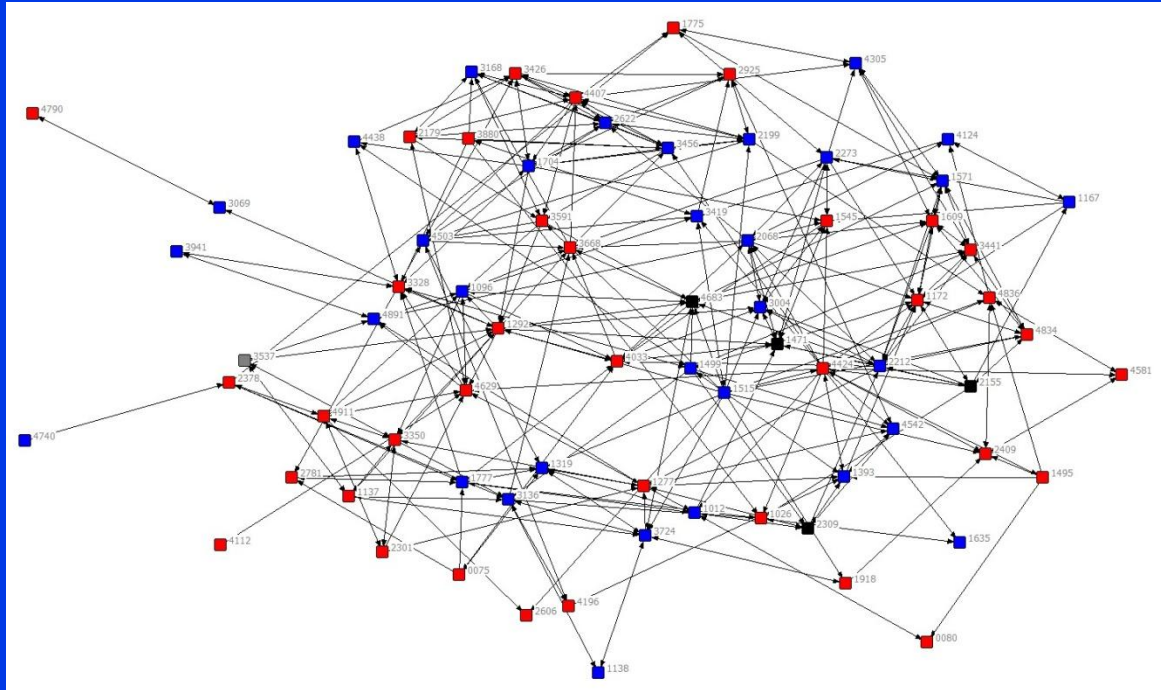
Testosterone



Assessing genetic polymorphisms using DNA extracted from cells present in saliva samples.

- Are the typical **volumes** of saliva collected in studies of child development sufficient to enable isolation of DNA for genetics analyses?
- Do collection **device materials** affect the isolation of sufficient quantity and quality of DNA from saliva?
- What are the effects of **room temperature** (RT) storage of saliva samples on the quality and quantity of DNA recovered?
- Do archived saliva samples that have been exposed to multiple **freeze-thaw** cycles yield sufficient quantity and quality of DNA for genetic analyses?
- When oral fluids are collected from **specific areas in the mouth** associated with different salivary glands, is there a difference in DNA quantity and quality?

OXTR and the Social Network: Friendship Nominations



AA – Black; AG – Red; GG - Blue

Epigenetics

- **Global Methylation** -- Levels correlated in DNA extracted from cells from oral fluid compared to DNA extracted from cells from whole blood

Cytokines

- **Design:** 113 Healthy Adolescent Girls, multiplex EC Immunoassay, 3 waves of annual samplings
- GM-CSF, IFN γ , IL-1 β , IL-2, IL-6, IL-8, IL-10, IL12-p70, and TNFa.
-
- **Cytokine levels:** all cytokines, except IFN γ and IL-10, were detectable in the majority of saliva samples; non-normal distributions; levels of all cytokines lower in saliva than serum except IL-8 and IL-1 β ; saliva levels of IL-8 and IL-1 β were >20x higher than other salivary cytokines;
- **Cytokine Inter-correlations :** in saliva were lower than in serum; individual differences in cytokine levels were more stable across years when measured in serum than in saliva;
- **Stability :** there were strong associations between salivary adiponectin and cotinine with salivary but not serum cytokines; and
-
- **Serum-saliva correlation:** only IL-1 β
-
- **Correlates:** Salivary cytokine levels were not associated with age, pubertal development, or gynecological age,
-
- **Conclusion:** Variation in salivary cytokine levels largely reflects compartmentalized local activity of the oral mucosal immune system raising questions about the role they play, if any, in intracellular and hormonal signaling within the neuro-endocrine-immune network.

Prospective Markers of TBI in Saliva

Name	Type	Primary Source	Primary Function/Use	Size
GFAP	filament and cytoskeleton protein	astrocytes	marker of astrocytes, astrocyte differentiation, astrogliosis, tumor marker	45 kDa
S100B	calcium-binding protein	cytoplasm of astroglia and Schwann cells	participates in intracellular activities, marker of pathological conditions and injury	20 kDa
NSE	glycolytic enzyme	cytoplasm of neurons, neuroendocrine cells	released under pathological conditions, marker of cell damage, tumor marker	78 kDa
Neurogranin	neuroprotein, protein kinase C substrate	neurons	participates in synaptic signaling, marker of dendrite spine density and synaptic plasticity	7.5 kDa
BDNF	neurotrophin	widespread in CNS	marker related to neuron growth and survival	14 kDa
Beta-Synuclein	soluble protein	presynaptic brain tissues, astrocytes	development and maintenance of synaptic function, tumor marker	19 kDa
ICAM-5	transmembrane glycoprotein	neurons	synapse development, immune and inflammatory responses	130 kDa
MT-III	metal-binding protein	astrocytes	protects neurons and other tissues against toxic factors, oxidative stress	6 kDa



Future Directions

- Salivary bioscience is poised to enable and facilitate advances in multiple fields
- Emerging applications have implications for screening and diagnostics, and decisions related to personal health, well being, and performance
- Prevention and intervention science
- Community of interdisciplinary scholars linked together by an international network of centers of excellence

Questions and Discussion



Johns Hopkins University

Center for

Interdisciplinary

Salivary Bioscience

Research

